

Accredited Investment Fiduciary®

Masterclass - Workshop II

'Formalize'

New World Hotel

Manila, Philippines

Monday, November 27, 2017

Program Agenda

8:30 – 9:00 a.m.	Registration and Breakfast
9:00 – 9:15 a.m.	Introductions and Program Content
9:15 – 10:30 a.m.	Asset Allocation Focus Issues Risk; asset classes; tools Practical - validating your process
<i>10:30 – 11:00 a.m.</i>	<i>Break</i>
11:00 – 12:30 p.m.	Determining Appropriate Risk Approaches to consider Board or Committee trade-offs Practical example
<i>12:30 – 1:30 p.m.</i>	<i>Lunch</i>
1:30 – 3:00 p.m.	Expected Returns and Outcomes Preferred approach and pitfalls Modeling example Fiduciary file items
<i>3:00 – 3:20 p.m.</i>	<i>Break</i>
3:20 – 4:30 p.m.	Investment Policy Development Developing specific content - beliefs; roles; spending policy; accountability; SRI Practical - Utilizing a checklist
4:30 – 4:45 p.m.	Course Wrap-up and Evaluations

Accredited Investment Fiduciary® (AIF®) Masterclass Program™

A series of one-day workshops designed for those in governance roles, focusing on the practical implementation of one of the four steps of the AIF® Fiduciary Quality Management System™. Participants will collaborate in the application of the Prudent Practices™ for Investment Stewards theory to real-world stewardship scenarios. Each workshop is designed to develop personal abilities to contribute to investment governance activities.

Each workshop will begin with a recap of core fiduciary precepts and specific practices from the AIF® program, then progressively introduce increasing practical content, requiring attendee group interaction. Attendees should be prepared to undertake pre-reading, and to actively contribute to the workshop environment.

*"...fiduciary governance...may seem attractive sounding, but difficult to apply...like a grand idea in the realm of academics, but of little real application in the real world. However, neither of these conclusions is correct. Instead, **prudent process** is, at a fundamental level, **both specific and implementable.**"*

Fred Reish, U.S. ERISA Attorney



Outcomes:

- **Assess:** Learn ways to undertake, and present, Level One Self-Assessments (SAFE) to identify opportunities for improvement.
- **Prioritize:** Learn how to create and maintain annual task priorities and to short list topics in order to build governance capability.
- **Fiduciary File:** Learn to develop and to maintain a practical fiduciary file.
- **Fiduciary Calendar:** Understanding which issues and topics should be included in an annual plan of activities.

Masterclass Workshops:

1. **Organize**
2. **Formalize**
3. **Implement**
4. **Monitor**

Benefits:

- **Improved board dynamics**
- **Increase fiduciary standard of care**
- **Better documentation of processes**
- **Better service provider interaction**
- **Demonstrates trustworthiness**
- **Earn AIF® CE credits**
- **Earn certificate of completion**